

BẢNG TÓM TẮT HỆ THỐNG THẨM ĐỊNH (DDS)
PUBLIC SUMMARY OF DUE DILIGENCE SYSTEM (DDS)

Tên công ty <i>Company Name</i>	Thuy Son Investment Joint Stock Company Email: fsc@thuysongroup.com.vn Phone: 0868 585 089
Địa chỉ <i>Address</i>	<i>Phu Thang area, Tan Phu ward, Cai Rang district, Can Tho city</i>

Mã số FSC COC <i>FSC COC Code</i>	<i>BV-COC-116256</i>
Ngày hoàn thành <i>Date of Completion</i>	<i>10/06/2019</i>
Ngày bổ sung <i>Date of Revised</i>	<i>15/06/2020</i>

Phần 1: Mô tả hệ thống thẩm định (DDS):
Part 1: Description of the DDS

Mô tả phải cho phép xác định khu vực có chỉ định rủi ro đồng nhất trong việc đánh giá rủi ro áp dụng cho từng loại gỗ có kiểm soát.
The description should allow the identification of the area with a homogeneous risk designation in the applicable risk assessment for each controlled wood category.

Vùng cung cấp <i>Sourcing Area</i>	Đánh giá rủi ro sử dụng: (CNRA, đánh giá rủi ro của công ty *, bao gồm liên kết, nếu có) <i>Risk Assessment used:</i> (CNRA, company risk assessment*, including the link if applicable)	Kết quả đánh giá rủi ro cho từng loại gỗ có kiểm soát, nếu có. <i>Result of the Risk Assessment for each controlled wood category, if applicable</i>
U Minh District, Ca Mau Province 1. Nguyen Phich Commune: 3 suppliers -forest owners 2. Khanh Lam Commune: 3 suppliers- forest owners 3. Khanh Thuan Commune: 6 suppliers - forest owners	- FSC-CNRA- VN VI-0 EN, and Company risk assessment based on ANEX A FSC-STD 40-005 – Version 3.1.	Each controlled wood category: 1. Illegally Harvested Wood : Specified risk 2. Wood Harvested in Violation of Traditional or Civil Rights: Specified risk 3. Wood harvested from forest in which high conservation values are threatened by management activities: Specified risk

4. Wood harvested from areas being converted from forests and other wooded ecosystems to plantations or non-forest uses: **Specified risk**
5. Wood from forests in which genetically modified trees are planted.: **Low risk**
(Base on <http://www.globalforestregistry.org/>)

Thủ tục khiếu nại (liên quan đến DDS)
Procedure for filing complaints (related to the DDS)

Thủ tục khiếu nại bao gồm các bước:

The complaint procedure includes the following steps:

- a) Notifying that the complaint has been received.
- b) Notifying the stakeholder in the handling complaints process, and within 2 weeks there must be a preliminary response to the complainant.
- c) Transferring of complaints relating to risk determinations to stakeholder related to FSC risk assessment (NRA, CNRA or FSC).
- Note: If you have done item c) then the following items from d) - k) are not required.
- d) Assessing preliminarily to find the evidence provided in the complaint is correct or incorrect regarding the raw material used.
- e) Talking to the complainant to find a way to handle the complaint before taking the next action.
- f) Transferring information to the certification body or the FSC office in the (national) area within 2 weeks of receiving the complaint, including how the company has handled it as well as the preventive measures Next to this complaint.
- g) There is a preventive activity for the supply of that raw material when the complaint has not ended.
- Note: This includes describing the preventive activities of the company used when the complaint is still in the handling process. A complaint has not ended when it is relevant (item d), and the implementation of corrective action (item h) - k)) has not been completed.
- h) Thuy Son Investment Joint Stock Company conducts the verification process (in the area or the

	<p>from the end of the consultation.</p> <p>6. Maintaining records of the consultation process</p>
<p>Thông tin về sự tham gia của một hoặc nhiều chuyên gia trong việc phát triển các biện pháp kiểm soát,</p> <ul style="list-style-type: none"> • Đối với các chuyên gia cá nhân, bao gồm: • Tên của các chuyên gia, • Trình độ của họ, • Số giấy phép (bằng cấp) / số đăng ký của họ (nếu có), và • Phạm vi dịch vụ của họ. <p>Đối với chuyên môn có sẵn công khai, các nguồn thông tin cụ thể sẽ được trích dẫn.</p> <p><i>Information on the engagement of one or more experts in the development of control measures, if applicable.</i></p> <p><i>For individual experts this includes:</i></p> <ul style="list-style-type: none"> • <i>the names of the experts,</i> • <i>their qualifications,</i> • <i>their license/registration number (if applicable), and</i> • <i>the scope of their services.</i> <p><i>For publically available expertise, the specific sources of information shall be cited.</i></p>	<p>Technical experts used in the development of control measures</p> <ol style="list-style-type: none"> 1. Mr. Vo Trung Kien – Researcher of Forest Science Institute of South Vietnam. Field: Expert on forests, conservation and society, environment 2. Ms. Nguyen Thi Ngoc Thuy – Environmental Engineer Field: Environment 3. Ms. Cao Mong Kieu – Forestry Engineer. Field: Forestry 4. Mr. Nguyen Van No – Forestry Engineer. Field: Forestry 5. Ms. Nguyen Huong Giang – Awareness Raising Officer - ILO in Vietnam; scope of consultation on labor rights are upheld including rights as specified in ILO Fundamental principles and Rights at Work. Field: Child Labor 6. Ms. Nguyen Mai Oanh – Capacity Building Officer-ILO Vietnam; national Project Coordinator-support on the National Time-Bound Program on the Elimination of the Worst form of Child Labor Project. Field: Child Labor <p>List of stakeholder groups invited to participate</p> <ol style="list-style-type: none"> 1. Economic interests: <ul style="list-style-type: none"> Forest owners Forestry workers

2. Social interests

Ca Mau Provincial FPD.

U Minh district FPD.

Department of Agriculture and Rural Development of Ca Mau Province.

Department of Agriculture and Rural Development in U Minh district.

Ca Mau Provincial Committee for Ethnic Minorities

U Minh District People's Committee.

Khanh Thuan Commune People's Committee.

Khanh Lam Commune People's Committee

Nguyen Phich Commune People's Committee.

UNICEF Organisation in Viet Nam

Save the Children International Organisation in Viet Nam

CARE International Organisation in Vietnam

U Minh High School

3. Environmental interests

Department of Natural Resources and Environment of Ca Mau Province.

WWF office in Ha Noi.

4. FSC-accredited certification bodies active in the country

Certification organization: Nepcon in Vietnam.

5. National and state forest agencies

U Minh Ha National Park

6. Experts with expertise in controlled wood categories

	<p>Mr. Vo Trung Kien - Researcher of Forest Science Institute of South Vietnam.</p> <p>7. Ms. Nguyen Huong Giang – Awareness Raising Officer - ILO in Vietnam; scope of consultation on labor rights are upheld including rights as specified in ILO Fundamental principles and Rights at Work.</p> <p>Ms. Nguyen Mai Oanh – Capacity Building Officer-ILO Vietnam; national Project Coordinator-support on the National Time-Bound Program on the Elimination of the Worst form of Child Labor Project.</p> <p>8. Research institutions and universities</p> <p>Department of Environment and Natural Resources of Can Tho University.</p> <p>9. FSC regional offices, FSC network partners, registered standard development groups and NRA working groups in the region</p> <p>Ms. Vu Que Anh - Representative of FSC in Vietnam</p>
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Phần 2: Đánh giá rủi ro:

Part 2: Risk assessment:

2.1. CHỈ DỪNG CHO NGUYÊN LIỆU ĐƯỢC MUA TỪ CÁC KHU VỰC KHÔNG ĐƯỢC CHỈ ĐỊNH LÀ RỦI RO THẤP ĐỐI VỚI NGUỒN GỐC CỦA NGUYÊN LIỆU

2.1. ONLY for material sourced from areas not designated as Low Risk for the origin of material:

Chỉ số <i>Indicator</i>	<p>Các biện pháp kiểm soát được thực hiện bởi công ty, theo chỉ định đánh giá rủi ro;</p> <p><i>Được cung cấp trong FSC-PRO-60-002a - Khung Đánh giá Rủi ro FSC Quốc gia (đối với các FSC-CNRA-VN V1-0 EN hoặc đánh giá rủi ro mở rộng) hoặc Phụ lục A FSC-STD 40-005 – Version 3.1</i></p> <p><i>The control measures implemented by the organization, by risk assessment indicator Provided in FSC-PRO-60-002a FSC National</i></p>	<p>Kết quả kiểm tra hiện trường nếu được thực hiện như một biện pháp kiểm soát</p> <p><i>Findings from field verification if undertaken as a control measure</i></p>	Kết quả mong đợi <i>Disire outcome</i>
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	<i>Risk Assessment Framework (for FSC-CNRA-VN VI-0 EN or extended risk assessment) or Annex A FSC-STD 40-005 – Version 3.1</i>		
<p><u>Hang mục gỗ có kiểm soát 1: Gỗ khai thác bất hợp pháp</u> <u>Controlled wood category 1. Illegally harvested wood</u></p>			
<p><u>1.1: Quyền sử dụng đất và quyền quản lý</u> <u>(Land tenure and management rights).</u></p>	<ul style="list-style-type: none"> Examination of land use rights, land allocation contract or documentation related to land ownership of forest owners. Interview or consult with local authorities and stakeholders on the status of forest land. Observe the actual situation, boundaries, issues of disputes and certify forest land use rights in accordance with Vietnamese law. In case the forest owners do not have the land use right / land allocation contract, it will be excluded from the material area of Thuy Son Investment Joint Stock Company. 	<ul style="list-style-type: none"> Documents of land ownership inspected include: <ul style="list-style-type: none"> Red book: Nguyen Thi Phuong (No. A0 953587); Trong Thuy Tra (No. AP 857715); Vo Van Dep (A0 A0 953561). Contracting Forest and production forest land: Tran Van Son (No. 586) Tran Chi Lang (No. 806); Dinh Van Buol (No. 875, 876, 916, 922); Tran Thi Anh Nga (No. 815, 812); Đinh Tran Bao Thuy (No. 894); Le Tran Thanh Vy (No. 864). Economic cooperation contract on investment in afforestation No. 50 / HD-HTĐT of Tran Van Son. Contract for contracting forestry land: Tran Thanh Vu (parcel number 32-TD 27/7-TK 033); Ho Thanh Nhan (31-TD 27/7-TK 033); Nguyen Thanh Cong (72-TĐ1 / TB - TK 034). Thuy Son Investment Joint Stock Company found no dispute relating to the land-use right and boundaries after interviewing, consulting with local authority & stakeholder and observation at the site. 	<p>Rủi ro thấp <i>Low risk</i></p>
<p><u>1.4: Giấy phép thu hoạch</u> <u>(Harvesting permits).</u></p>	<ul style="list-style-type: none"> Inspection of harvesting according to Circular No 27/2018/TT-BNNPTNT. Interview with local authorities and stakeholders. 	<ul style="list-style-type: none"> Interviewing with forest owners, commune officials and forest rangers, households invested in planting acacia and melaleuca trees to exploit all of them when needed. When harvesting, the forest 	<p>Rủi ro thấp <i>Low risk</i></p>

	<ul style="list-style-type: none"> Regularly or unexpectedly observation and inspection during harvesting forests. 	<p>owner must notify the CPC or U Minh Ha Forest One Member Limited Company of the exploitation to proceed with the exploitation design.</p> <ul style="list-style-type: none"> Thuy Son will control the harvesting records of afforestation households before buying timber (according to 27/2018 / TT-BNNPTNT) to ensure that the sources of timber from the material area are in accordance with legal requirements of Vietnam and FSC standards. Checking the exploitation records and procedures of forest owners up to the exploitation age according to current law provisions, including: <ul style="list-style-type: none"> + Economic contracts + Invoice + Receipt + Minutes of the division of forest products of households belonging to LTK U Minh II 	
<p><u>1.9: Đối với các khu vực được bảo vệ và các loài bảo tồn</u> <i>(Protected sites and species).</i></p>	<ul style="list-style-type: none"> Production forest areas of forest owners surveyed in U Minh District are planned and demarcated outside natural forests, protected areas or watershed protection forests and also do not contain valuable species. rare, endangered. Through field inspection and interviews with U Minh district ranger, local forest rangers and households, this material area has wild animals such as storks, snakes, wild ducks, etc. Thuy Son Company monitor and guide forest owners to protect the protected species. 	<ul style="list-style-type: none"> All households comply with the protection of wildlife and protected species. 	<p>Rủi ro thấp <i>Low risk</i></p>
<p><u>1.10: Những yêu cầu về Môi trường</u> <i>(Environmental requirements).</i></p>	<ul style="list-style-type: none"> Thuy Son company sent a letter to Department of Natural Resources and Environment, Department of Agriculture and Rural Development in Ca Mau, and Can Tho University to consult about environmental and conservation requirements in the region. Whether. Thuy Son company requires households to follow environmental 	<ul style="list-style-type: none"> Households of U Minh District will have to comply with the environmental regulations of the CPC and U Minh Ha Forestry One Member Company Limited. When harvesting, Thuy Son company will implement by harvesting team of the company, 	<p>Rủi ro thấp <i>Low risk</i></p>

	<p>procedures in accordance with Decree 40/2019 / ND-CP and Decree 18/2015 / ND-CP, Circular 27/2015 / TT-BTNMT) before applying for permission. However, the land area of forest owners is smaller than the minimum required to be made Environmental Management Plan or Environmental Impact Assessment as prescribed.</p> <ul style="list-style-type: none"> • Forest owners in U Minh District will have to comply with the environmental regulations of the CPC and U Minh Ha Forestry One Member Company Limited. 	<p>these workers have been trained on environmental protection measures.</p> <ul style="list-style-type: none"> • When harvesting, Thuy Son company assigns staff to monitor the forest harvesting activities to ensure the compliance of environment protection. 	
<p><u>1.11: Sức khỏe và an toàn lao động</u> <i>(Health and safety).</i></p>	<ul style="list-style-type: none"> • Check information and documents of forest owners related to Vietnamese law issued by the Ministry of Labor, Ministry of Health, Ministry of Agriculture and Rural Development. • Interview with forest owners, forest workers and stakeholders and observe the actual situation. • Thuy Son Company buys forest and exploits it by itself. 	<ul style="list-style-type: none"> • When buying timber from households, Thuy Son Company will directly exploit and use the company's exploitation workers teams. They have signed contracts and have been trained in labor safety, low impact exploitation and use of gasoline saws. Besides, the company's workers are fully equipped with labor protection devices such as hats, pants, workwear, gloves, masks, earmuffs, shoes. • During exploitation, Thuy Son Company sent staff to regularly check the compliance with occupational safety and health. • Thuy Son company requires forest owners to submit the “report of using labor” and commit to ensure health and safety for workers in the process of forest planting and tending. 	<p>Rủi ro thấp <i>Low risk</i></p>
<p><u>1.12: Việc làm hợp pháp</u> <i>(Legal employment).</i></p>	<ul style="list-style-type: none"> • Check information, documents on labor/workers related to plantations, transportation, production activities according to the requirements of the Trade Union Law in 2012 and Decree 43/2013 / ND-CP. • Interview with forest owners, forest workers and stakeholders and observe the actual situation. • Thuy Son Company buys forest and exploits it by itself 	<ul style="list-style-type: none"> • Thuy Son company requires forest owners to submit the “report of using labor” and commit to ensure health and safety for workers in the process of forest planting and tending. • The forest owners sell the plantations to Thuy Son and will be harvested by Thuy Son harvesting team to ensure using legal labor according to ILO regulations. • Thuy Son company does not discriminate among employees. Thuy Son company has supervised staff 	<p>Rủi ro thấp <i>Low risk</i></p>

		to regularly check the saws to ensure the labor requirements. (Sample Monitoring).	
<p><u>1.17: Thương mại và vận chuyển</u> <i>(Trade and transport).</i></p>	<ul style="list-style-type: none"> • Check information, documents / records of suppliers related to trade and transportation as required by Circular 27/2018 / TT-BNNPTNT, Circular on Tax of the Ministry of Finance. • Interview with forest owners and CPC staff. 	<p>Trade:</p> <ul style="list-style-type: none"> • Before harvesting, forest owners must notify the CPC or U Minh Ha Forestry Limited Company of the exploitation so that they can implement the logging design according to Circular 27/2018 / TT-BNNPTNT. • Household signs a sales contract with Thuy Son. • Forest Owners sign Purchase and sale contract with Thuy Son Company. <p>Transportation:</p> <ul style="list-style-type: none"> • The transportation of forest products requires a list of forest products according to Circular 27/2018 / TT-BNNPTNT. • When buying wood from households, Thuy Son will harvest and transport it themselves. • Transportation documents including list of forest products harvested in accordance with Circular 27/2018 / TT-BNNPTNT, transportation contracts with vehicle owners. • Thuy Son Company signs contracts with vehicle owners and will check the deadline to register their vehicles. Drivers of vehicles must have driving licenses. • Thuy Son Company will supervise at the weighing station, check the records and proceed with weighing. • Retention records include: <ol style="list-style-type: none"> 1. Profile exploitation design 2. List of forest products and weight paper at 	<p>Rủi ro thấp <i>Low risk</i></p>

		cannel 18.	
1.18: <u>Giao dịch chuyển giá</u> <i>(Offshore trading and transfer pricing).</i>	<ul style="list-style-type: none"> At present, Thuy Son Company has not had any business in trading materials of Acacia hybrid timber and melaleuca with foreign countries. 	<ul style="list-style-type: none"> Thuy Son Company only sells domestically and is overseen by tax authorities. The accounting records archived at Thuy Son Company are in compliance with the provisions of Vietnamese law 	Rủi ro thấp <i>Low risk</i>
1.19: <u>Hoạt động hải quan</u> <i>(Custom regulations).</i>	<ul style="list-style-type: none"> At present, Thuy Son Company has not had any business in trading materials of Acacia hybrid timber and melaleuca with foreign countries. 	<ul style="list-style-type: none"> When exporting forest products, Thuy Son Company complies with the provisions of the Customs law. However, Thuy Son company only sells domestically and has not yet applied customs regulations. 	Rủi ro thấp <i>Low risk</i>
1.20: <u>Cites.</u>	<ul style="list-style-type: none"> Observing the actual scene Interviews with forest owners, households and local forest rangers. 	<ul style="list-style-type: none"> The timber species purchased by the Thuy Son company in U Minh district is Acacia and Melaleuca. According to Circular 04/2017 / TT-BNNPTNT, issued on February 24, 2017 on the List of wild animals and plants specified in the Annexes of the Convention on International Trade in Animals and Plants endangered wildlife, Acacia and Melaleuca are not the species mentioned in the above list. 	Rủi ro thấp <i>Low risk</i>
<p><u>Hạng mục gỗ có kiểm soát 2: Gỗ thu hoạch vi phạm truyền thống và quyền con người</u> <u><i>Controlled wood category 2: Wood harvested in violation of tradition and human rights</i></u></p>			
2.2 <u>Quyền lao động được tôn trọng bao gồm các quyền được quy định trong các nguyên tắc và quyền làm việc của ILO tại nơi làm việc.</u> <i>(Labour rights are respected including rights as specified in ILO fundamental principles and rights at</i>	<ul style="list-style-type: none"> Check information, report materials on child labor and forced labor with ILO Vietnam Interview local people, village officials, commune officials, labor at forest and regional rangers to verify the absence of forced labor and child labor, confirming that the rights of indigenous and ethnic people traditions and / or local communities are respected and upheld. <p>Verification of the following: Rights like freedom of association and collective bargaining upheld.</p>	<ul style="list-style-type: none"> See the company's commitment to compliance with ILO regulations. Thuy Son Company buys forest and exploits it by itself. Thuy Son has issued labor policy no use of child labor or forced labor and procedure of controlling child labor and forced labor participating in the supply chain. Thuy Son has issued a process of selecting and managing suppliers using the labor force from child labor and labor force, including: 	Rủi ro thấp <i>Low risk</i>

<p>work).</p>	<p>There is evidence confirming absence of compulsory and/or forced labour.</p> <p>There is evidence confirming absence of discrimination in respect of employment and/or occupation, and/or gender.</p> <p>There is evidence confirming absence of child labour.</p> <p>There is evidence of country signatory to the relevant ILO Conventions.</p>	<ul style="list-style-type: none"> • See procedure of controlling child labor and forced labor participating in the supply chain. • See training records for company employees and suppliers • List of employees with address and ID number, all in working age, working on a voluntary basis, the salary is based on the agreement between the two parties. See report on child labor utilization in organization and supply chains. • No forced labor or child labor at the sites evaluate. See policies and commitments about child labor and forced labor in accordance with the applicable laws. • No discrimination for female workers or male laborers, indigenous labor, Kinh or local Ethnic minority. Workers who work the same job are paid equally. Have the right to freedom of association and collective bargaining. • Thuy son is popular with suppliers about child labor and forced labor. Forest owners must provide Labor reports and commitment to comply with labor regulations when signing a purchase contract (provided by Thuy Son). • Thuy Son company buys forests from households and harvests itself. Thuy Son company sent exploitation team to be fully trained and equipped with labor protection equipment. Workers have a contract and a power of attorney for the representative. Thuy Son will appoint staff to supervise the regular mining activities • Thuy Son has issued regulation of public information related problem child labor to provide information about child labor to both business objects and the supply chain, as well as to stakeholders outside the system. 	
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		<ul style="list-style-type: none"> • Thuy Son must appoint staff to supervise the regular mining activities. Violation alerts and guidance to help fix and raise standards. • Subcontractors and suppliers that violate the Policy will be removed from the list of suppliers of timber sources eligible for production and sale with the FSC CW declaration. • This process, along with the Policy, must be trained for all suppliers before starting work by company employees that responsible for controlling suppliers that use forced and child labor. • Thuy Son Company does not distinguish between male and female workers, Kinh or Khmer workers who work the same way and are paid the same wage. 	
<p><u>2.3 Quyền của người bản địa và truyền thống dân tộc được duy trì</u> <i>(The rights of indigenous and traditional peoples are upheld).</i></p>	<p>Through checking the Audit Team found that:</p> <ul style="list-style-type: none"> • Khanh Lam, Khanh Thuan and Nguyen Phich communes have 2 ethnic groups namely Kinh and Khmer. • U Minh Ha Forestry Company said that the land area allocated to households does not affect the local ethnic minority traditional rights. • Local authorities said that it would be fair to arbitrate between Kinh people (majority) and Khmer people when disputes occur. • The Audit Team did not see any conflicts related to land ownership of ethnic minorities or indigenous groups with allocated forest owners; there is no dispute about the boundaries of different forest owners in ethnic groups, disputes of timber and other assets on the land. • The rights of ethnic minorities are protected and respected, including non-working holidays on their own religious holidays / festivals. • Khmer people have a habit of worshiping ancestors and other customs that are similar to Kinh people because they have lived 	<ul style="list-style-type: none"> • Thuy Son company determined based on the results of consultations with ethnic minority people in the survey area. Local authorities affirmed that they lived with the Kinh people and were treated fairly. • Refer to FSC-CRNA VN-1.0, Indicator 2.3 has identified that Specified risks for mountainous areas, but low risk for other areas in the country. Ca Mau Province is not in the mountainous area. • Currently Thuy Son only purchases wood materials in the area of U Minh District, Ca Mau Province. Therefore, this Indicator is assessed as Low Risk. • In the future, when Thuy Son company expands the material area to other districts in Ca Mau Province and surroundings, it will consult and interview the stakeholders related to the traditional rights of ethnic minorities to make Control measures in accordance with FSC requirements. 	<p>Rủi ro thấp <i>Low risk</i></p>

together for a long time.

Hang mục gỗ có kiểm soát 3. Gỗ từ rừng có giá trị bảo tồn cao bị đe dọa bởi các hoạt động quản lý

Controlled wood category 3. Wood from forest in which high conservation values are threatened by management activities

<p>3.1 HCV 1: Đa dạng loài <u>(3.1 HCV 1: Species diversity)</u></p>	<ul style="list-style-type: none"> • Identify existing HCV1s at supply sites. • Interview with forestry workers, CPC and forest owners and households • Survey of timber transport routes • In the event that a raw material supplier threatens biodiversity values, Thuy Son company will eliminate that supplier. 	<ul style="list-style-type: none"> • Near the survey area, the identified natural conservation values are: U Minh Ha National Park, about 40 km away. Song Trem eco-tourism area is about 15 km • The source of wood that Thuy Son company buys is from planted forests. The area of land allocated to households is not located close to the natural forest area, and is clearly demarcated on the map. • Timber transport routes do not pass through and affect conservation values. When exploiting and using existing canals, not opening new roads. • The forest of U Minh district is characterized by the alternation of canals and forests, so forest fires rarely occur. Forest owners have not confirmed this problem. • There is no history of converting forests to agricultural land 	
<p>3.2 HCV 2: Cảnh quan – các cấp độ hệ sinh thái và thể khảm <u>(3.2 HCV 2: Landscape-level ecosystems and mosaics)</u></p>	<ul style="list-style-type: none"> • Identify existing HCV2s at supply sites. • Interview with forestry workers, CPC and forest owners and households • Survey of timber transport routes • In the event that a raw material supplier threatens biodiversity values, Thuy Son company will eliminate that supplier. 	<ul style="list-style-type: none"> • Near the survey area, the identified natural conservation values are: U Minh Ha National Park, about 40 km away. Song Trem eco-tourism area is about 15 km • The source of wood that Thuy Son company buys is from planted forests. Timber transport roads use the existing canal system, not opening new roads. The road system does not pass through, does not fragment or affect natural ecosystems. • In the survey area, there is no logging in the natural forest. 	

		<ul style="list-style-type: none"> • There is no history of converting forests to agricultural land 	
<p><u>3.3 HCV 3: Hệ sinh thái và môi trường sống</u> <u>(3.3 HCV 3: Ecosystems and habitats)</u></p>	<ul style="list-style-type: none"> • Identify existing HCV3s at supply sites. • Interview with forestry workers, CPC and forest owners and households • Survey of timber transport routes • In the event that a raw material supplier threatens biodiversity values, Thuy Son company will eliminate that supplier. 	<ul style="list-style-type: none"> • Near the survey area, the identified natural conservation values are: U Minh Ha National Park, about 40 km away. Song Trem eco-tourism area is about 15 km • There is no illegal logging in the survey area • The source of timber that Thuy Son Company buys is from planted forests. Timber transport roads use the existing canal system, not opening new roads. The road system does not pass through, does not fragment or affect natural ecosystems. • There is no history of converting forests to agricultural land 	
<p><u>3.4 HCV 4: Các dịch vụ hệ sinh thái quan trọng</u> <u>(HCV 4: Critical ecosystem services)</u></p>	<ul style="list-style-type: none"> • Identify existing HCV4s at supply sites. • Interview with forestry workers, CPC and forest owners and households • Survey of timber transport routes • In the event that a raw material supplier threatens biodiversity values, Thuy Son company will eliminate that supplier. 	<ul style="list-style-type: none"> • In the survey area, there are typical canals and rivers in the Mekong Delta region. • In the survey area, there is no illegal logging. There is no sign of deforestation leading to soil erosion, or damage to soil and water quality. • The source of wood that Thuy Son company buys is from planted forests. The terrain for planting, tending and exploiting is not complicated and does not affect the ecology and environment. • In the surveyed areas based on the consultation of stakeholders, the area of land allocated to households is not in the natural forest area, and clearly demarcated on the map. • Forest owners plant forests right after harvesting to limit vacant land and minimize soil erosion. Acacia hybrid plantation helps improve soil better. 	<p style="text-align: center;">Rủi ro thấp <i>Low risk</i></p>

<p><u>3.5 HCV 5: Nhu cầu cơ bản cộng đồng</u> <i>(HCV 5: Community needs).</i></p>	<ul style="list-style-type: none"> Identify existing HCV5s at supply sites. Interview with forestry workers, CPC and forest owners and households Survey of timber transport routes In the event that a raw material supplier threatens biodiversity values, Thuy Son company will eliminate that supplier. 	<ul style="list-style-type: none"> In the survey area, the livelihood of some households from fish in the canals in the forest. Households do not use chemicals in afforestation. Households use quality seedlings when planting forests. Interviewed households, not exploiting new roads, but following existing channels Thuy Son company will guide measures to protect the community's basic needs for specific owners: (1) do not use the list of banned chemicals, (2) the company's low-impact exploitation process such as It is a control measure for forest protection households in 3 communes Khanh Thuan, Khanh Lam and Nguyen Phap. Ca Mau Forest Protection Department has consulted with Thuy Son that harvesting forest does not affect the basic needs of the community. 	<p style="text-align: center;">Rủi ro thấp <i>Low risk</i></p>	
<p><u>3.6 HCV 6: Giá trị văn hóa</u> <i>3.6 HCV 6: Cultural value</i></p>	<ul style="list-style-type: none"> Identify existing HCV6s at supply sites. Interview with forestry workers, CPC and forest owners and households Survey of timber transport routes In the event that a raw material supplier threatens biodiversity values, Thuy Son company will eliminate that supplier. 	<ul style="list-style-type: none"> According to actual records and observations, there are no places of cultural, historical and spiritual values in the survey area. The survey area is a planted forest, surrounded by Kinh and Khmer people living together in harmony and similar cultural features due to living together for a long time. At the plantation area of households in U Minh district without managing the HCV6 valuable area of the Khome ethnic group, Thuy Son Company affirmed that the area of the forest does not contain historical and cultural values be protected by the government 		
<p><u>Hạng mục gỗ có kiểm soát 4: Gỗ từ rừng được chuyển thành rừng trồng hoặc cho mục đích sử dụng ngoài rừng</u></p>				

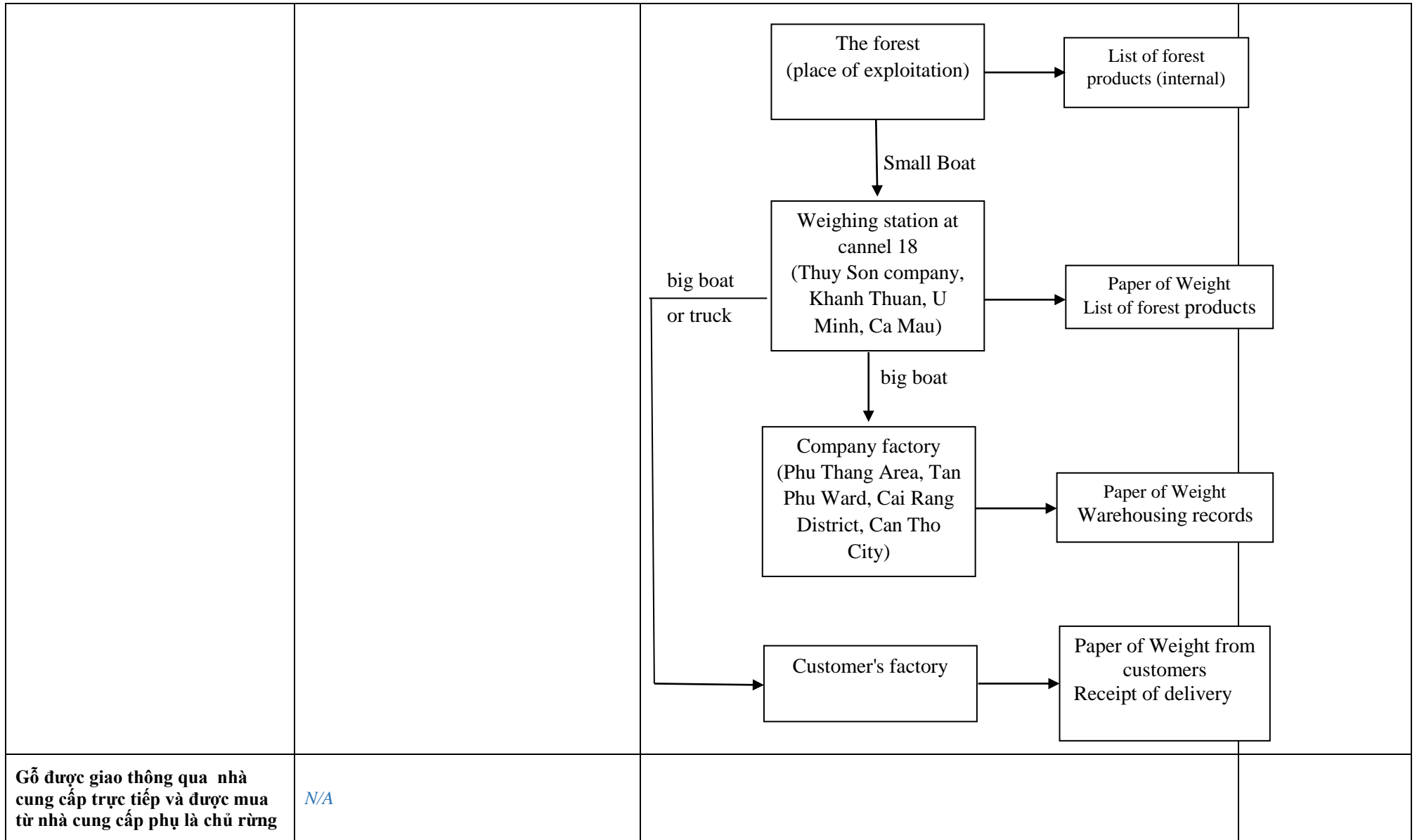
Controlled wood category 4. Wood from forests being converted to plantations or non-forest use

<p>4.1 License for land lease</p>	<ul style="list-style-type: none"> • Check the land use rights/ land allocation/rental contract of forest owners. • Interview or consult with local authorities and stakeholders. • In case the households do not have land allocation/rental contract or any documents as evidence, Thuy Son will eliminate them. 	<ul style="list-style-type: none"> • Documents of land ownership inspected include: <ul style="list-style-type: none"> - Red book: Nguyen Thi Phuong (No. A0 953587); Trong Thuy Tra (No. AP 857715); Vo Van Dep (A0 A0 953561). - Contracting Forest and production forest land: Tran Van Son (No. 586) Tran Chi Lang (No. 806); Dinh Van Buol (No. 875, 876, 916, 922); Tran Thi Anh Nga (No. 815, 812); Dinh Tran Bao Thuy (No. 894); Le Tran Thanh Vy (No. 864). - Economic cooperation contract on investment in afforestation No. 50 / HD-HTĐT of Tran Van Son. - Contract for contracting forestry land: Tran Thanh Vu (parcel number 32-TD 27/7-TK 033); Ho Thanh Nhan (31-TD 27/7-TK 033); Nguyen Thanh Cong (72-TĐ1 / TB - TK 034). • The evaluation team interviewed, consulted with related parties and observed practically no record of disputes over land use rights and boundaries of forest plantations. • Interviewed forestry staff to determine the history of the forest's origin: the former history of poor forests was converted. • Thuy Son company checks the land use right (red book) or the decision to allocate land from the competent authority, to ensure that all timber purchased from this supply area is compliant with the law and not must be in areas with a history of natural forests. 	<p>Rủi ro thấp <i>Low risk</i></p>
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2.2. ĐÁNH GIÁ RỦI RO TRỘN LẤN NGUYÊN LIỆU VỚI CÁC NGUỒN ĐẦU VÀO KHÔNG THÍCH HỢP KHÁC TRONG CHUỖI CUNG ỨNG:

2.2. Evaluate the risk of mixing raw materials with other inappropriate inputs in the supply chain:

Loại chuỗi cung ứng	Rủi ro trộn lẫn với các nguyên liệu không có kiểm soát	Các biện pháp kiểm soát	Kết quả mong đợi
<p>Gỗ được mua và giao từ nhà cung cấp trực tiếp là chủ rừng</p> <p><i>Timber is purchased and delivered directly from supplier is forest owner</i></p>	<p>Timber is bought directly from forest owners and is harvested - transported by Thuy Son Company.</p>	<p>1. Supplier control process: Records to be collected include:</p> <ul style="list-style-type: none"> ○ Red book or land contract, exploitation design document. ○ Sale and purchase contract between the Company and the forest owner ○ Minutes of forest land handover (between the company and the logging team, with forest owners' certification) <p>2. Control shipping process</p>	<p>Rủi ro thấp</p> <p><i>Low risk</i></p>



<i>Timber is delivered from direct supplier, purchased from forest owner</i>			
Giải trình cho việc loại trừ thông tin bí mật. <i>CHÚ Ý: Bản chất bảo mật của thông tin có thể được xác định bởi luật pháp mà tổ chức phải tuân thủ. Thông tin nhạy cảm về thương mại và tên của từng chủ sở hữu đất đai có thể được coi là bí mật.</i> Justification for the exclusion of confidential information. <i>NOTE: The confidential nature of the information may be determined by the legislation that the organization must be in compliance with. Commercially sensitive information, and the names of individual landholders may be treated as confidential.</i>	N/A		

Tổng Giám Đốc Công Ty
General Director



Trần Chi Anh Nga